

# NIEM-Maritime Exchange Model Summary

# Position

Version 1.0 January 2015



# ABOUT THIS DOCUMENT

Information sharing is about implementing services to move and manage the information required to execute our missions. The implementation of an information exchange service requires a valid common exchange model *and* a defined process for requesting and providing the information.

The Exchange Model Summary document provides information about both the exchange model and the exchange process used within the Maritime Information Sharing Environment (MISE). Contained in this document are the artifacts used to develop and document the exchange model, an example sequence of user and system actions that are the sharing process, and descriptions of the associated data exchange elements.

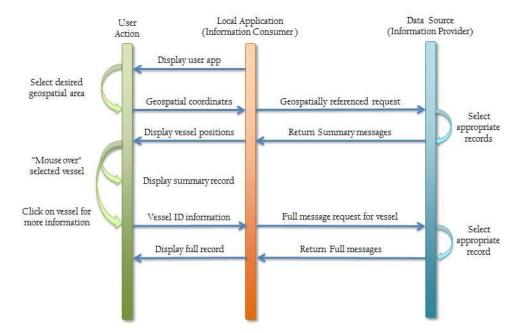
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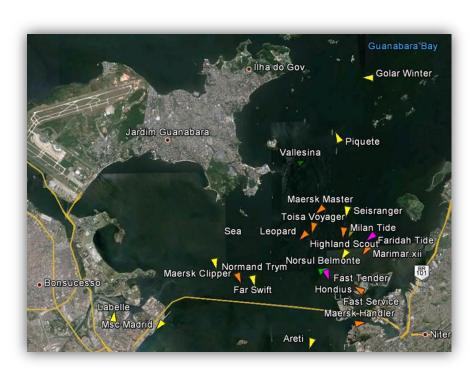
# Information Exchange Sequence

An information sharing process can be as simple as a direct, single service that requires no interaction and does not change. However, frequently the exchange process includes user interaction. An understanding of that interaction and the associated data for each step is required before the exchange service can be established. This sequence diagram is an example of a process that could be used.



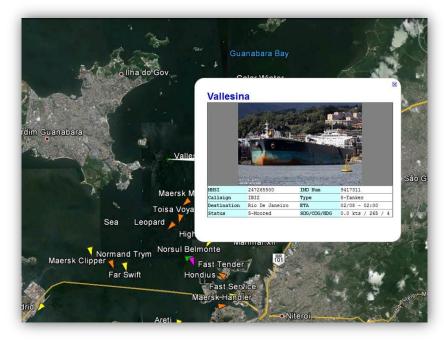
In this example, a user, using a local application, draws a box around a desired area on a geospatial display. The geospatially referenced request is sent from the information consumer to the information provider using an agreed upon request format. The returned message is a summary message for each of the vessels in the geospatial box.

The returned information is displayed on the users application display.

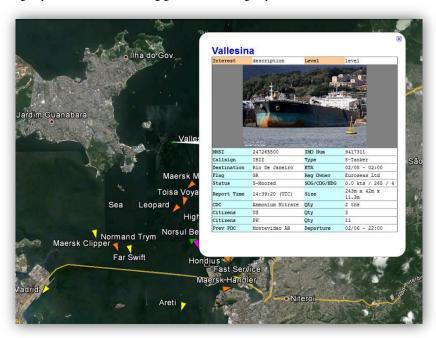




If the user mouses over on a specific vessel, a "baseball card" of the summary information is displayed.



If the user clicks on a vessel, the vessel identification information is sent from the information consumer, via a previously defined request message format, to the information provider. The information service returns a full message for the vessel identified. The returned data set is received and displayed on the users application display.



This sequence depicts a possible process; many others could be defined. The important point is that a process is defined and agreed upon *before* the service is implemented.



## DEFINING EXCHANGE MODELS

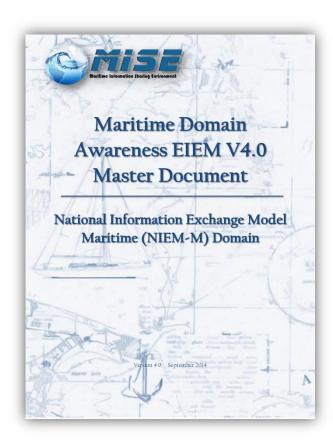
NIEM—the National Information Exchange Model—is a community-driven, standards-based approach to exchanging information and is used by the MISE to define maritime exchange models. NIEM is organized by communities, or Domains. The NIEM *Maritime* Domain (NIEM-M) is sponsored by an inter-agency Maritime Domain Awareness (MDA) Executive Steering Committee (MDA ESC).

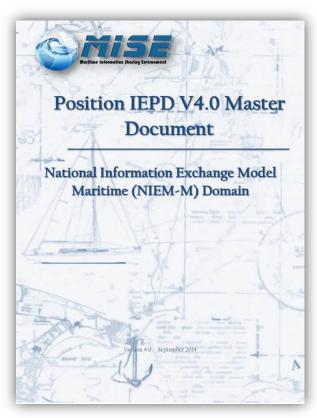
Within NIEM, there are several documents used to describe or define exchange models. One of those documents is the Information Exchange Package Documentation (IEPD).

IEPDs are a cornerstone document for MISE information exchanges. Each IEPD defines a specific exchange model or message. A unique IEPD called as Enterprise Information Exchange Model (EIEM) defines Basic Information Exchange Components (BIEC). BIECs are blocks of information that are common to multiple IEPDs.

The Position IEPD defines a Vessel Position message using the BIECs of Movement, Position, and Vessel Identification from the Maritime EIEM.

IEPD materials are accessible and downloadable at <a href="https://www.mda.gov">www.mda.gov</a>.

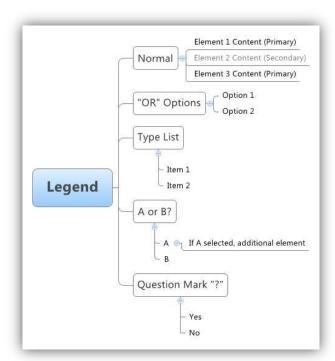






## LOGICAL MODEL DIAGRAMS

XML messages from the IEPDs can be confusing and difficult to understand for readers without a technical background. To better describe the components of an information exchange, graphical representations of the data elements associated with the exchange model have are provided. Below is a legend to use as a guide for the subsequent logical diagrams.



Normal - Data elements with sub-elements as branches that *contain* the data. Black sub-elements are "primary" and contained in both full and summary messages. Gray sub-elements are "secondary" and only contained in a full message.

"OR" Options - Data elements that identified the option to the user (e.g. search for vessel by position *OR* name). The option *contains* the data.

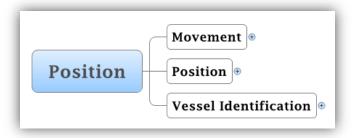
Type List - Data elements with a list of option choices. Unlike OR Options, the Type List choices *are* the data.

A or B? – Type Lists that asks user for additional information based upon the selection.

**Question Mark (?)** – Type Lists that are *only* yes or no answers.

#### EXCHANGE MODEL - BLOCK LEVEL

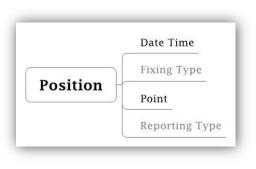
The logical data blocks for this IEPD are shown here. The blue block represents the IEPD, white blocks represent applicable BIECs and the grey block is only used in the Position IEPD.

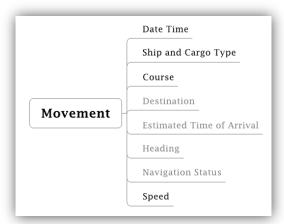


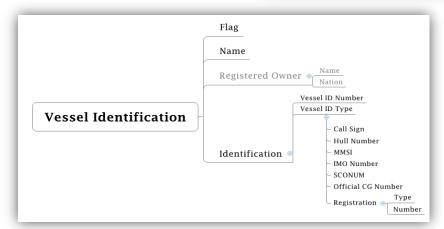


#### LOGICAL BLOCKS

The data elements in each logical block are shown below.

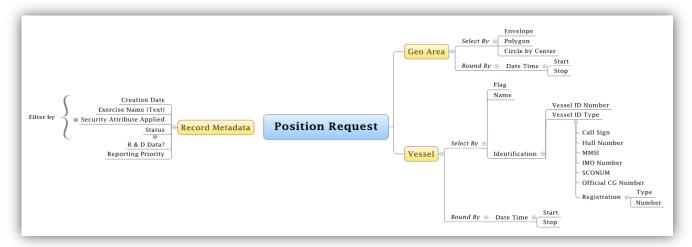






#### REQUEST/QUERY MODEL

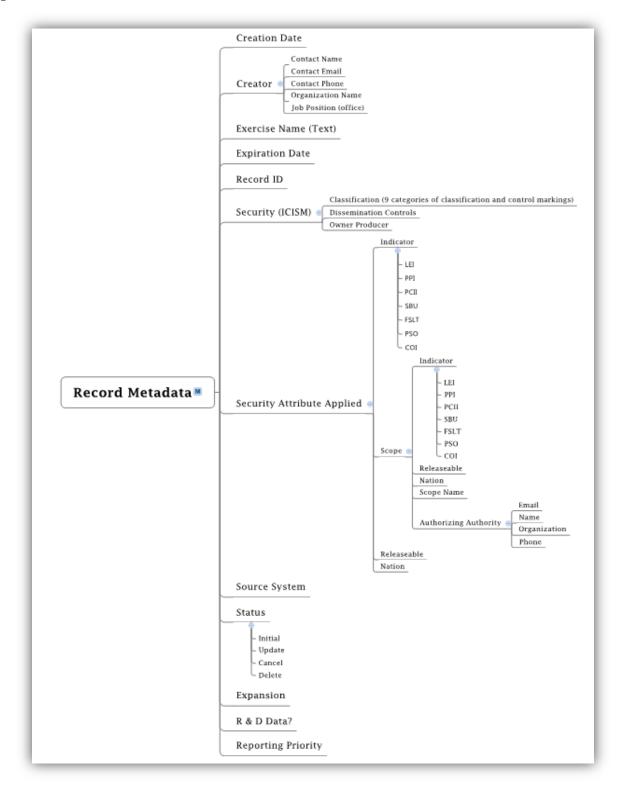
Below is a graphical representation of the request/query model for position. The yellow blocks on the right side show the methods used to request Position data. The yellow block on the left side shows the *Record Metadata* used to filter the resulting data.





#### RECORD METADATA

The record metadata shown below is used for managing the message throughout the exchange process.





# DATA ELEMENT DESCRIPTIONS

The following tables contain descriptions for the data elements for each data block.

#### MOVEMENT

| Common Name                        | Description  |
|------------------------------------|--|
| Date Time                          | The date and time of the movement of a vessel                      |
| Ship Type                          | Type of vessel performing the movement                             |
| Cargo Type                         | Type of cargo reported by the vessel performing the movement       |
| Course                             | Vessel course for this movement                                    |
| Destination                        | Next port this vessel will arrive at as of this movement date time |
| Estimated time of<br>Arrival (ETA) | The expected date and time a vessel will arrive at a next port     |
| Heading                            | Vessel heading for this movement                                   |
| Navigation Status                  | Vessel navigational status for this movement                       |
| Speed                              | Vessel speed of this movement                                      |

#### Position

| Common Name    | Description                               |
|----------------|---|
| DateTime       | The date and time of this position        |
| Fixing Type    | Type of fix for the position              |
| Point          | GML point representation for the position |
| Reporting Type | Type of position report                   |

#### VESSEL IDENTIFICATION

| Common Name      | Description  |
|------------------|--|
| Flag             | The national flag under which a vessel sails   |
| Name             | The name of a vessel   |
| Registered Owner | The entity that owns a vessel. Information includes:  Name - The name of the person that owns a vessel  Nation - The name of the nation that owns the vessel |



#### Vessel Identification, continued

| Common Name    | Description  |
|----------------|--|
| Identification | <ul> <li>Unique identification attributes of a vessel are:</li></ul> |

#### METADATA

| Common Name     | Description   |
|-----------------|---|
| Creation Date   | A date a document was created   |
| Creator         | Entity primarily responsible for creating the content of the resource.  Information includes:  Contact Name - The name of a person who is the contact for the document creator  Contact Email - An electronic mailing address by which the document creator may be contacted  Contact Phone - A telephone number by which the document creator may be contacted  Organization Name - The name of an organization who is the document creator  Job Position (Office) - Brief description of a position |
| Exercise Name   | Exercise name   |
| Expiration Date | A date a transmitted document expires   |
| Record ID       | A unique ID identifying a record  |



#### Metadata continued

| Common Name                       | Description   |
|-----------------------------------|---|
| ICISM Marking<br>(Security)       | Security classification of data. Information includes:  Classification - A single indicator of the highest level of classification applicable to an information resource or portion within the domain of classified national security information  Dissemination Controls - One or more indicators identifying the expansion or limitation on the distribution of information  Owner Producer - One or more indicators identifying the national government or international organization that have purview over the classification marking of an information resource or portion therein  |
| Security<br>Attributes<br>Applied | Characteristics of a persona that defines a user in a particular role.  Security Attributes include:  Indicator - Indicates the security that must be applied to the record  • Law Enforcement (LEI)  • Privacy Protected (PPI)  • Sensitive But Unclassified (SBU)  • Protected Critical Infrastructure Information (PCII)  • Federal/State/Local/Tribal/Territorial (FSLT)  • Private Sector Only (PSO)  • Community of Interest (COI)  Scope - Indicates the security that must be applied to this record within the context of the named scope  • Indicator – LEI, PPI, SBU, PCII, FSLT, PSO, COI  • Releasable – Whether the data is releasable in the context of the names scope  • Nation – The nations which the data can be released in the context of the named scope  • Scope Name – Name of the scope in which the security and releasablity is modified  • Authorizing Authority – Entity authorizing the use of the set of attached scope attributes on this metadata element. Includes the following information:  • Email - The email of the authorizing authority  • Name - The name of the authorizing authority  • Organization - The organization of the authorizing authority  • Phone - The phone number of the authorizing authority |



#### Metadata continued

| Common Name                          | Description   |
|--------------------------------------|---|
| Status                               | A status of a record that can be any of the following: Initial - New record Update - Change or update to an existing record Cancel - Cancelation of existing record Delete - Deletion of an existing record |
| Expansion                            | Additional information  |
| Research and<br>Development<br>Data? | True if the information in the record is for research & development efforts, false otherwise  |
| Reporting<br>Priority                | Numeric value representing how often this record is updated   |

